**WITHHOLDING STATEMENT**

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| --- | --- |
| Client Name: |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Effective Date of Withholding Statement: |  | Signature |  | |
| Account Number: |  | Title: |  | |
| GIIN Number: |  | Date Signed: |  | |
| QI – EIN Number (if applicable): |  |  |  |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Chapter 3 Status: |  |  | Chapter 4 Status: |  |

As GTN Middle East Financial Services (DIFC) Limited is acting as a Qualified Intermediary for our trading Account Number as below. Please apply the Chapter 3 withholding rates we have assigned to each of the accounts listed below.

Chapter 3 - Maximum Rate: Payee is properly documented under Chapter 3 & 4 & Section 3406 and identified as foreign recipient not entitled to a reduced withholding rate. Chapter 4 & section 3406 does not apply (Exemption code 15). Add Additional Income code and applicable WH rate.

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Account Number(s) | Assuming Primary Chapter 3 Withholding  (Yes or No) | Income code 1  Non-portfolio interest | Income code 1  Portfolio interest | Income Code 6 Ordinary Dividends) | Income Code 14 (REITS) | Income Code 27 Publicly Traded Partnerships | Additional  Income Code  09, 29, 34, 36, 37, 52 | Additional  Income Code | Pooled Code  (see 1042-S for code) |
|  | No | 30% | 30% | 30% | 30% | 37% | 30% |  | 27 |
|  | No | 30% | 30% | 30% | 30% | 37% | 30% |  | 27 |
|  | No | 30% | 30% | 30% | 30% | 37% | 30% |  | 27 |

|  |
| --- |
| If GTN Middle East cannot apply fractional withholding rates, round up to whole number. |

We Confirm that we do not hold any account for a foreign recipient entitled to a reduced withholding rate.

We Confirm that we do not hold any Assets of a recalcitrant and non-participating foreign Financial Institution.

We Confirm that we do not hold any Assets of U.S persons.

We Confirm that we do not hold any Assets for any exempt entity that gets tax exempt under the tax law of their country of their residence.