

# GTN Americas - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2024

April 2024

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## S&P 500 Stocks

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 30.15                                     | 14.18   | 54.24   | 1.43                                     |

### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CDRG                            | 39.32                   | 28.69             | 34.89                       | 46.49                           | 35.77            | 182.4380   | 20.0000   | 761.1057   | 19.4894   | 1,117.0366   | 19.5908   | 39.5660   | 20.0000  |
| JNST                            | 30.42                   | 35.50             | 28.91                       | 27.85                           | 35.77            | 315.8080   | 20.0000   | 392.1820   | 20.0000   | 680.6400   | 20.0000   | 66.8460   | 20.0000  |
| SOHO                            | 30.22                   | 35.81             | 36.04                       | 25.64                           | 28.46            | 228.6440   | 20.0000   | 558.8480   | 20.0000   | 438.2640   | 20.0000   | 26.9120   | 20.0000  |
| BLUE                            | 0.03                    | 0.00              | 0.16                        | 0.02                            | 0.00             | 0.0000   | 0.0000  | 0.0000   | 0.0000  | 0.0000   | 0.0000  | 0.0000  | 0.0000   |

### Material Aspects:

#### CDRG:

GTN Americas Financial Services (GTNA) received payment from Citadel Securities, LLC. ("Citadel") and all other brokers for directing NMS order flow that result in executions. For equity market, marketable limit and non-marketable limit orders the payment rate was \$0.0020 per share, and payment was not received for executions priced less than \$1. The Firm pays \$0.0005 plus pass thru for executions that occur between 4-7 AM Eastern time.

#### JNST:

GTN Americas Financial Services (GTNA) received payment from Jane Street Capital, LLC. ("Jane Street") and all other brokers for directing NMS order flow that result in executions. For equity market, marketable and non-marketable limit orders the payment rate was \$0.0020 per share, and payment was not received for executions priced less than \$1.

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GTN Americas Financial Services (GTNA) received payment from Two Sigma Securities, LLC. ("Two Sigma") and all other brokers for equity directing NMS order flow that result in executions. For market, marketable and non-marketable limit orders the payment rate was \$0.0020 per share, and payment was not received for executions priced less than \$1. The Firm does not receive payment for On Close orders.

#### BLUE:

GTN Americas pays exchange, regulatory, market data and clearing fees to BLUE and receives exchange rebates as credits where applicable GTN Americas also receives incremental pricing benefits if certain volume thresholds are met.

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April 2024

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## Non-S&P 500 Stocks

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 14.29                                     | 20.48   | 64.47   | 0.76                                     |

### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CDRG                            | 50.09                   | 29.51             | 49.22                       | 55.16                           | 31.28            | 4,017.6240                                       | 13.2171   | 13,955.0000  | 5.0633  | 28,175.6445  | 9.2968  | 291.6840  | 11.7176  |
| JNST                            | 25.12                   | 36.03             | 25.76                       | 22.40                           | 33.08            | 5,019.4082                                       | 11.6494   | 13,885.8223  | 6.9093  | 18,235.2246  | 11.4975   | 706.2720  | 18.0503  |
| SOHO                            | 24.78                   | 34.46             | 25.00                       | 22.44                           | 35.64            | 5,009.5322                                       | 13.3787   | 11,159.4941  | 6.8680  | 17,971.1152  | 11.3099   | 502.7900  | 18.0993  |
| BLUE                            | 0.01                    | 0.00              | 0.01                        | 0.01                            | 0.00             | 0.0000   | 0.0000  | 0.0000   | 0.0000  | 0.0000   | 0.0000  | 0.0000  | 0.0000   |

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#### BLUE:

GTN Americas pays exchange, regulatory, market data and clearing fees to BLUE and receives exchange rebates as credits where applicable GTN Americas also receives incremental pricing benefits if certain volume thresholds are met.

## April 2024

## Options

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 16.61                                     | 37.90   | 44.91   | 0.58                                     |

### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CDRG                            | 68.26                   | 59.83             | 67.70                       | 71.90                           | 64.71            | 2,618.8701                                       | 33.7614   | 9,726.5684   | 18.6780   | 5,723.5000   | 14.4968   | 4.9778  | 13.8272  |
| WEXX                            | 31.43                   | 40.17             | 31.94                       | 27.72                           | 35.29            | 1,660.4000                                       | 25.0211   | 2,645.4001   | 28.0411   | 3,444.2000   | 42.2653   | 4.8000  | 40.0000  |
| DFIN                            | 0.31                    | 0.00              | 0.36                        | 0.38                            | 0.00             | 0.0000   | 0.0000  | -3.0000  | -37.5000  | -3.7500  | -37.5000  | 0.0000  | 0.0000   |

#### Material Aspects:

##### CDRG:

GTN Americas Financial Services (GTNA) received payment from Citadel Securities LLC. ("CDRG") and all other brokers for directing NMS order flow that result in executions. For non-index option market, marketable and non-marketable limit orders the payment rate was \$0.50 per contract. For ETF options, the rate was \$0.30 per executed contract. For index options, the Firm passes through any fees incurred.

##### WEXX:

GTN Americas Financial Services (GTNA) received payment from Wolverine Execution Services, LLC. ("Wex") and all other brokers for directing NMS order flow that result in executions. For non-index option market, marketable and non-marketable limit orders the payment rate was \$0.50 per contract. For ETF options, the rate was \$0.30 per executed contract. For index options, the Firm passes through any fees incurred.

##### DFIN:

GTN Americas Financial Services (GTNA) received payment from Dash Financial Technologies, LLC. ("Dash") and all other brokers for directing NMS order flow that result in executions. For non-index option market, marketable and non-marketable limit orders the payment rate was \$0.50 per contract. For ETF options, the rate was \$0.30 per executed contract. For index options, the Firm passes through any fees incurred.

## May 2024

### S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 20.81                                     | 16.49   | 61.52   | 1.18                                     |

#### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CDRG                            | 41.41                   | 29.94             | 33.13                       | 47.75                           | 29.25            | 207.8160   | 20.0000   | 348.4854   | 18.9314   | 1,059.7961   | 19.6353   | 11.4520   | 20.0000  |
| JNST                            | 29.54                   | 34.26             | 28.28                       | 28.18                           | 34.91            | 209.6660   | 20.0000   | 518.5120   | 20.0000   | 636.9220   | 20.0000   | 31.0760   | 20.0000  |
| SOHO                            | 29.03                   | 35.81             | 38.59                       | 24.07                           | 34.91            | 209.0100   | 20.0000   | 267.5620   | 20.0000   | 503.8160   | 20.0000   | 43.4660   | 20.0000  |

#### Material Aspects:

##### CDRG:

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##### JNST:

GTN Americas Financial Services (GTNA) received payment from Jane Street Capital, LLC. ("Jane Street") and all other brokers for directing NMS order flow that result in executions. For equity market, marketable and non-marketable limit orders the payment rate was \$0.0020 per share, and payment was not received for executions priced less than \$1.

##### SOHO:

GTN Americas Financial Services (GTNA) received payment from Two Sigma Securities, LLC. ("Two Sigma") and all other brokers for equity directing NMS order flow that result in executions. For market, marketable and non-marketable limit orders the payment rate was \$0.0020 per share, and payment was not received for executions priced less than \$1. The Firm does not receive payment for On Close orders.

## May 2024

### Non-S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 11.06                                     | 24.83   | 63.24   | 0.88                                     |

#### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CDRG                            | 47.76                   | 29.10             | 45.75                       | 52.05                           | 30.02            | 3,987.5942                                       | 9.1080  | 14,367.8398  | 3.0066  | 36,098.9375  | 5.9117  | 543.9720  | 13.6510  |
| SOHO                            | 26.13                   | 35.35             | 27.62                       | 23.81                           | 34.62            | 5,870.9424                                       | 8.6779  | 16,045.3584  | 5.9211  | 25,608.5684  | 9.7288  | 438.6940  | 16.1632  |
| JNST                            | 26.11                   | 35.55             | 26.61                       | 24.13                           | 35.36            | 4,388.0703                                       | 8.9018  | 18,536.5195  | 6.6844  | 22,561.7031  | 8.4317  | 540.5360  | 16.8461  |
| VLCT                            | 0.01                    | 0.00              | 0.02                        | 0.01                            | 0.00             | 0.0000   | 0.0000  | 0.0000   | 0.0000  | 0.0000   | 0.0000  | 0.0000  | 0.0000   |

#### Material Aspects:

##### CDRG:

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##### SOHO:

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##### JNST:

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##### VLCT:

GTN Americas pays exchange, regulatory, market data and clearing fees to VLCT and receives exchange rebates as credits where applicable GTN Americas also receives incremental pricing benefits if certain volume thresholds are met

## May 2024

### Options

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 14.78                                     | 35.03   | 49.19   | 1.01                                     |

## Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CDRG                            | 61.25                   | 44.88             | 61.90                       | 66.40                           | 26.92            | 355.5965   | 30.5758   | 6,297.4263   | 17.4686   | 1,284.1055   | 7.0933  | -4.5085   | -26.5206   |
| WEXX                            | 21.80                   | 33.60             | 19.82                       | 18.93                           | 57.69            | 312.4577   | 26.7974   | 656.0783   | 35.3681   | 640.2824   | 30.8570   | -5.6062   | -16.4888   |
| DFIN                            | 16.95                   | 21.52             | 18.27                       | 14.67                           | 15.38            | -570.0000  | -67.6960  | -9,535.5000  | -74.0391  | -4,682.2500  | -72.8301  | -3.7500   | -41.6667   |

## Material Aspects:

### CDRG:

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## June 2024

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## S&P 500 Stocks

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 19.60                                     | 18.11   | 61.22   | 1.07                                     |

## Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CDRG                            | 39.28                   | 29.71             | 36.86                       | 43.17                           | 32.76            | 202.3060   | 20.0000   | 921.9351   | 18.0992   | 2,047.9811   | 19.3944   | 22.2040   | 20.0000  |
| SOHO                            | 30.43                   | 36.42             | 34.00                       | 27.28                           | 40.52            | 256.1180   | 20.0000   | 751.0800   | 20.0000   | 1,239.8181   | 20.0000   | 67.1800   | 20.0000  |
| JNST                            | 30.29                   | 33.87             | 29.14                       | 29.55                           | 26.72            | 372.9340   | 20.0000   | 1,156.4420   | 20.0000   | 1,292.0880   | 20.0000   | 26.0640   | 20.0000  |

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## June 2024

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### Non-S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 9.59                                      | 26.08   | 63.54   | 0.79                                     |

#### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CDRG                            | 50.18                   | 29.37             | 47.90                       | 54.49                           | 31.65            | 2,539.6841                                       | 12.0885   | 14,835.7842  | 3.7231  | 24,269.4219  | 5.6830  | 725.5780  | 17.0624  |
| SOHO                            | 25.02                   | 35.58             | 26.64                       | 22.62                           | 36.20            | 3,570.9382                                       | 11.2183   | 15,669.7588  | 6.7086  | 16,414.0391  | 10.9068   | 254.2700  | 18.7306  |
| JNST                            | 24.80                   | 35.06             | 25.46                       | 22.89                           | 32.15            | 3,795.0161                                       | 11.9193   | 17,387.1035  | 7.5727  | 15,744.5762  | 9.8939  | 198.6940  | 15.6491  |

#### Material Aspects:

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## June 2024

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### Options

## Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 17.25                                     | 37.78   | 44.85   | 0.12                                     |

## Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CDRG                            | 46.49                   | 40.48             | 41.96                       | 52.56                           | 66.67            | 624.3900   | 33.0016   | 8,803.0518   | 43.7288   | 5,883.2954   | 38.6373   | -0.0405   | -1.0125  |
| WEXX                            | 30.02                   | 37.86             | 29.24                       | 27.75                           | 0.00             | 735.9182   | 31.8166   | 3,486.0691   | 41.0078   | 2,237.0942   | 40.4684   | 0.0000  | 0.0000   |
| DFIN                            | 23.49                   | 21.67             | 28.80                       | 19.69                           | 33.33            | 677.7729   | 36.0518   | -10,385.6670   | -40.2389  | -6,332.7954  | -41.9252  | 0.5000  | 25.0000  |

## Material Aspects:

### CDRG:

GTN Americas Financial Services (GTNA) received payment from Citadel Securities LLC. ("CDRG") and all other brokers for directing NMS order flow that result in executions. For non-index option market, marketable and non-marketable limit orders the payment rate was \$0.50 per contract. For ETF options, the rate was \$0.30 per executed contract. For index options, the Firm passes through any fees incurred.

### WEXX:

GTN Americas Financial Services (GTNA) received payment from Wolverine Execution Services, LLC. ("Wex") and all other brokers for directing NMS order flow that result in executions. For non-index option market, marketable and non-marketable limit orders the payment rate was \$0.50 per contract. For ETF options, the rate was \$0.30 per executed contract. For index options, the Firm passes through any fees incurred.

### DFIN:

GTN Americas Financial Services (GTNA) received payment from Dash Financial Technologies, LLC. ("Dash") and all other brokers for directing NMS order flow that result in executions. For non-index option market, marketable and non-marketable limit orders the payment rate was \$0.50 per contract. For ETF options, the rate was \$0.30 per executed contract. For index options, the Firm passes through any fees incurred.