

# GTN Americas - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2024

July 2024

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## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	20.34	18.39	60.65	0.62

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CDRG	41.72	31.56	37.36	46.41	45.16	267.2100	20.0000	1,831.0000	18.6939	2,445.0000	19.1142	35.0000	20.0000
SOHO	29.92	35.07	36.16	26.33	26.88	371.9220	20.0000	1,088.0000	20.0000	1,350.0000	20.0000	28.0000	20.0000
JNST	28.36	33.37	26.48	27.26	27.96	503.0860	20.0000	1,163.0000	20.0000	1,504.0000	20.0000	25.0000	20.0000

### Material Aspects:

#### CDRG:

GTN Americas Financial Services (GTNA) received payment from Citadel Securities, LLC. ("Citadel") and all other brokers for directing NMS order flow that result in executions. For equity market, marketable limit and non-marketable limit orders the payment rate was \$0.0020 per share, and payment was not received for executions priced less than \$1. The Firm pays \$0.0005 plus pass thru for executions that occur between 4-7 AM Eastern time.

#### SOHO:

GTN Americas Financial Services (GTNA) received payment from Two Sigma Securities, LLC. ("Two Sigma") and all other brokers for equity directing NMS order flow that result in executions. For market, marketable and non-marketable limit orders the payment rate was \$0.0020 per share, and payment was not received for executions priced less than \$1. The Firm does not receive payment for On Close orders.

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July 2024

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## Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	8.88	23.43	67.00	0.70

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CDRG	51.86	31.73	46.44	56.65	30.14	3,774.7441	11.9999	19,926.0000	5.1960	43,293.0000	10.0837	704.0000	18.3455
SOHO	24.84	34.94	28.52	22.07	39.10	3,705.6221	10.6642	25,976.0000	9.5777	29,038.0000	12.6274	750.0000	14.3982
JNST	23.27	33.33	24.99	21.26	30.75	3,234.6301	11.0602	23,827.0000	9.6174	28,040.0000	12.0610	365.0000	15.1327
SPDR	0.01	0.00	0.02	0.01	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VLCT	0.01	0.00	0.02	0.01	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

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GTN Americas Financial Services (GTNA) received payment from SpeedRoute, LLC. ("SpeedRoute") and all other brokers for equity directing NMS order flow that result in executions. For equity market, marketable limit and non-marketable limit orders the payment rate was \$0.0020 per share, and payment was not received for executions priced less than \$1. The Firm pays \$0.0003 plus pass thru for executions that occur between 4-7 AM Eastern time.

### VLCT:

GTN Americas pays exchange, regulatory, market data and clearing fees to VLCT and receives exchange rebates as credits where applicable GTN Americas also receives incremental pricing benefits if certain volume thresholds are met

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## July 2024

## Options

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	7.85	43.87	48.24	0.04

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CDRG	43.80	45.29	42.30	44.89	100.00	161.0000	28.5385	4,610.0000	32.3108	3,537.0000	28.7637	0.0000	-24.3700
WEXX	38.59	37.22	39.49	38.03	0.00	72.0000	21.1020	5,296.0000	32.7914	2,379.0000	26.4500	0.0000	0.0000
DFIN	17.61	17.49	18.22	17.08	0.00	56.0000	27.5447	1,896.0000	30.9729	870.0000	20.8767	0.0000	0.0000

#### Material Aspects:

##### CDRG:

GTN Americas Financial Services (GTNA) received payment from Citadel Securities LLC. ("CDRG") and all other brokers for directing NMS order flow that result in executions. For non-index option market, marketable and non-marketable limit orders the payment rate was \$0.50 per contract. For ETF options, the rate was \$0.30 per executed contract. For index options, the Firm passes through any fees incurred.

##### WEXX:

GTN Americas Financial Services (GTNA) received payment from Wolverine Execution Services, LLC. ("Wex") and all other brokers for directing NMS order flow that result in executions. For non-index option market, marketable and non-marketable limit orders the payment rate was \$0.50 per contract. For ETF options, the rate was \$0.30 per executed contract. For index options, the Firm passes through any fees incurred.

##### DFIN:

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## August 2024

### S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	19.74	16.52	62.97	0.78

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CDRG	40.78	31.34	40.04	43.99	36.64	241.0000	20.0000	1,658.0000	18.8306	3,187.0000	17.8621	34.0000	20.0000
JNST	29.75	34.59	28.08	28.67	30.53	333.0000	20.0000	1,566.0000	20.0000	1,761.0000	20.0000	119.0000	20.0000
SOHO	28.93	33.11	31.38	26.92	32.82	296.0000	20.0000	762.0000	20.0000	2,065.0000	20.0000	118.0000	20.0000
SPDR	0.54	0.96	0.50	0.42	0.00	10.0000	20.0000	19.0000	17.5363	14.0000	14.6673	0.0000	0.0000

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## August 2024

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### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	8.43	20.19	70.38	1.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CDRG	48.70	30.62	44.40	52.32	33.20	4,038.0000	6.0959	14,715.0000	3.5097	46,029.0000	6.5090	899.0000	15.5254
JNST	25.57	35.56	28.30	23.44	35.78	3,990.0000	6.9396	16,348.0000	5.7787	27,394.0000	7.6830	1,812.0000	19.1110
SOHO	25.10	32.28	26.37	23.80	31.02	4,377.0000	7.2143	19,054.0000	6.3234	27,283.0000	8.0424	1,303.0000	19.4844
SPDR	0.63	1.54	0.93	0.44	0.00	138.0000	11.0361	277.0000	7.6798	342.0000	11.9016	0.0000	0.0000
VLCT	0.00	0.00	0.01	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

#### Material Aspects:

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## August 2024

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### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	11.53	41.51	46.85	0.11

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CDRG	40.68	37.25	39.93	42.28	0.00	121.0000	21.6488	4,571.0000	39.9279	3,163.0000	40.8404	0.0000	0.0000
WEXX	34.88	39.54	35.39	33.20	66.67	179.0000	27.7270	3,872.0000	40.7067	2,514.0000	40.7218	30.0000	48.3871
DFIN	24.44	23.20	24.68	24.52	33.33	213.0000	37.7681	3,315.0000	44.5947	1,288.0000	32.7734	0.0000	25.0000

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## September 2024

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### S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	21.56	18.79	58.82	0.83

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CDRG	39.03	30.00	34.31	43.90	35.48	820.0000	19.4800	1,553.0000	17.0301	3,489.0000	18.8823	75.0000	19.4800
JNST	29.89	33.22	28.19	29.18	33.06	525.0000	20.0300	744.0000	20.0300	1,272.0000	20.0300	62.0000	20.0300
SOHO	28.88	30.94	35.85	25.86	31.45	388.0000	19.9400	664.0000	19.9400	1,118.0000	19.9400	70.0000	19.9400
SPDR	2.11	5.84	1.61	0.94	0.00	70.0000	16.0500	93.0000	15.4358	30.0000	14.5435	0.0000	0.0000

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## September 2024

### Non-S&P 500 Stocks

#### Summary

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100.00	9.73	25.51	64.05	0.71

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CDRG	47.63	30.40	45.11	51.42	32.37	3,789.0000	9.5963	27,111.0000	5.9134	33,878.0000	7.5110	767.0000	18.6269
JNST	25.92	34.83	24.52	24.99	39.06	5,063.0000	11.5452	29,122.0000	9.6151	28,638.0000	11.7230	1,184.0000	19.4122
SOHO	24.80	30.94	28.20	22.47	28.57	4,126.0000	9.6719	24,165.0000	7.8034	23,573.0000	11.9271	537.0000	19.3757
SPDR	1.61	3.83	2.17	1.08	0.00	471.0000	13.0833	1,087.0000	9.1694	635.0000	6.7901	0.0000	0.0000

#### Material Aspects:

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## September 2024

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### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	6.52	38.97	54.51	0.00

#### Venues

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CDRG	40.39	45.83	41.11	39.23	0.00	529.0000	46.8596	2,901.0000	44.5057	1,740.0000	39.5471	0.0000	0.0000
DFIN	33.74	31.25	32.75	34.74	0.00	174.0000	40.4647	1,653.0000	36.5699	2,094.0000	37.0323	0.0000	0.0000
WEXX	25.87	22.92	26.13	26.03	0.00	315.0000	49.3307	2,461.0000	45.4682	1,389.0000	45.4397	0.0000	0.0000

#### Material Aspects:

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